

DOCKET NO. FST-CV-22 : SUPERIOR COURT
OFFICE OF CHIEF DISCIPLINARY COUNSEL : J.D. OF STAMFORD/NORWALK
v. : AT STAMFORD
CARL FERRARO : MARCH 14, 2022

APPLICATION FOR ORDER OF INTERIM SUSPENSION
PURSUANT TO PRACTICE BOOK § 2-42

To the Superior Court within and for the Judicial District of Stamford/Norwalk at Stamford, now in session, comes the Office of Chief Disciplinary Counsel, duly appointed and acting in accordance with the powers vested in said office pursuant to Practice Book § 2-34A and complains to said Court that Carl Ferraro, Juris No. 403619, who has an office address at 5 Eversley Avenue, Norwalk, Connecticut 06851, poses a substantial threat of irreparable harm to his current and/or prospective clients pursuant to Practice Book § 2-42 and says:

1. Carl Ferraro ("Respondent"), Juris No. 403619, was duly admitted to the bar of the State of Connecticut on December 7, 1990. The Respondent has no history of discipline.

2. On March 3, 2022, a grievance complaint was filed against the Respondent alleging that a check issued by the Respondent for over \$400,000.00 was returned for insufficient funds. Although the Respondent ultimately wired sufficient funds to the Complainant, an investigation of the complaint is currently pending.

3. Upon information and belief, at least four (4) clients of the Respondent are owed funds from real estate closings and the Norwalk Police Department is investigating several complaints.

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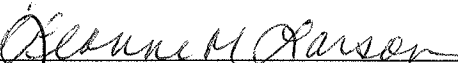
4. Upon information and belief, the Respondent is either presently in a rehabilitation facility or will be entering one immediately.

5. Upon information and belief, the Respondent has pending matters which need to be addressed immediately.

6. The Respondent poses a substantial threat of irreparable harm to his current and prospective clients pursuant to Practice Book § 2-42.

WHEREFORE, the Petitioner prays that the Court make a finding that the Respondent poses a threat of irreparable harm to current and prospective clients pursuant to Practice Book § 2-42, that the Court enter an Order suspending the Respondent from the practice of law, that the Court appoint a trustee pursuant to Practice Book § 2-64 to protect the interests of the Respondent's clients, that the Respondent be required to comply with Practice Book § 2-53 if the Respondent remains suspended for one (1) year or more, and order any other relief provided by law and the rules of court.

Respectfully submitted,
Office of Chief Disciplinary Counsel

By: 
Leanne M. Larson
First Assistant Chief Disciplinary Counsel

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ORDER

The forgoing Application for Interim Suspension, having been heard, the Court finds that the Respondent, Carl Ferraro, Juris No. 403619, poses a threat of irreparable harm to current and prospective clients pursuant to Practice Book § 2-42. Accordingly, it is hereby ORDERED:

1. The Respondent, Carl Ferraro, Juris No. 403619, is placed on interim suspension until further order of the Court, effective immediately.

2. The Office of Chief Disciplinary Counsel shall immediately notify the Chief Clerks of all Judicial Districts and Probate Court Administration of the Respondent's suspension.

3. Pursuant to Practice Book § 2-64, Attorney James A. Saraceni, Juris No. 414220, of 9 Mott Avenue, Norwalk, CT 06850, is appointed Trustee to take such steps as are necessary to protect the interests of Respondent's clients, inventory the client files, receive the business mail, and take control of Respondent's clients' funds, IOLTA, and all fiduciary accounts. **The Trustee shall not make any disbursements from said accounts without the prior authorization of the Court.** The Trustee shall notify all active clients of the Respondent's suspension and the need to arrange for their self-representation or successor counsel, if necessary.

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4. The Trustee shall take control of any and all clients' funds, IOLTA, and fiduciary account(s) by coordinating with the bank(s) to remove the Respondent as an authorized signatory on the account(s), and adding the Trustee as the sole signatory.

5. Within 72 hours of this order, the Respondent shall provide the Trustee with:

- a. a written list of active/pending files to include the client's name, address, telephone number, email address, description of the matter, amount of retainer paid (if any), itemization of all billing identifying any balance remaining, and whether there are any scheduled court dates, statute of limitations, deadlines, or other activity needing immediate attention.
- b. all active/pending files as identified in Paragraph 5(a) above.
- c. a list of all clients' funds, IOLTA and/or fiduciary accounts maintained by the Respondent, including the name of the banking institution and account number. The Respondent shall also provide the Trustee with all remaining original checks for each account.
- d. Respondent's contact information during the period of suspension, including phone number(s) and email address.

6. The Respondent shall not deposit to, disburse any funds from, withdraw any funds from, or transfer any funds from, any clients' funds, IOLTA, or fiduciary accounts.

7. The Respondent shall comply with Practice Book § 2-47B (Restrictions on the Activities of Deactivated Attorneys).

8. The Respondent shall cooperate with the Trustee in all respects.

9. The Respondent's failure to comply with this order shall be considered misconduct and may subject the Respondent to additional discipline.

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10. The Respondent shall be required to apply for reinstatement pursuant to Practice Book § 2-53 if the Respondent remains suspended for one (1) year or more.

THE COURT

DATE:

BY _____

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SUMMONS

To Any Proper Officer:

By the authority of the State of Connecticut, you are hereby commanded to summon Carl Ferraro, with an office at 5 Eversley Avenue, Norwalk, Connecticut, and a home address at 14 Horizon Court, Monroe, Connecticut, to appear before the Superior Court in and for the Judicial District of Stamford/Norwalk, 123 Hoyt Street, Stamford, Connecticut, on the _____ day of _____, 2022 at _____ o'clock AM/PM, VIA REMOTE VIDEO HEARING, then and there to answer unto the foregoing Application for Interim Suspension, by serving the Respondent, Carl Ferraro, in hand or at his usual place of abode, a true and attested copy of the Application for Interim Suspension, Order for Hearing and Notice, and this Summons, on or before the _____ day of _____, 2022.

Hereof fail not, but due service and return make.

Dated at Hartford, Connecticut, this _____ day of _____, 2022.

Leanne M. Larson
Commissioner of the Superior Court

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